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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/682,638
Filing Date	October 8, 2003
First Named Inventor	David L. SHELTON
Art Unit	1614
Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission: 43 + 233 Refs.	Attorney Docket Number: 514712000500

ENCLOSURES (*Check all that apply*)

- [ ] Fee Transmittal Form
  - [ ] Fee Attached
- [ ] Amendment/Reply
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [x] Information Disclosure Statement (3 pages)
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts/ Incomplete Application
  - [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [x] Power of Attorney, Revocation Change of Correspondence Address (1 page)
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____
- [ ] After Allowance Communication to Group
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)**
- [ ] Proprietary Information
- [ ] Status Letter
- [x] Other Enclosure(s) (please identify below):

1. Form PTO-1449 (17 pages in duplicate)
2. Statement Under 37 CFR 3.73(b) w/copy of Assignment (4 pages total)
3. References (233)
4. Return receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Jie Zhou - 52,395
Signature	
Date	June 23, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 23, 2004 Signature: Martina Placid (Martina Placid)

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PATENT
Docket No. 514712000500

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Martina Placid

Martina Placid

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David L. SHELTON et al.

Serial No.: 10/682,638

Filing Date: October 8, 2003

For: METHODS FOR TREATING POST-SURGICAL PAIN BY ADMINISTERING AN ANTI-NERVE GROWTH FACTOR ANTAGONIST ANTIBODY AND COMPOSITIONS CONTAINING THE SAME

Examiner: Not Yet Assigned

Group Art Unit: 1614

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. §1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith.

Copies of co-pending applications are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

☐ With the application; accordingly, no fee or separate requirements are required.

☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.

☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.

☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.

- ☐ A fee is required. A check in the amount of __ is enclosed.
- ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.

☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.

- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 514712000500. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 23, 2004

Respectfully submitted,

By: _____

Jie Zhou
Registration No. 52,395

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Facsimile: (650) 494-0792

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 514712000500	Application Number 10/682,638
Applicant: David L SHELTON et al.	
Filing Date October 8, 2003	Group Art Unit 1614
Mailing Date June 23, 2004	

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JUL 06 2004
PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initials	No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/08/2003	10/682,331	Shelton et al.			
	2.	10/08/2003	10/682,332	Shelton et al.			
	3.	12/24/2003	10/745,775	Pons et al.			
	4.	02/19/2004	10/783,730	Shelton et al.			
	5.	03/01/2004	10/791,162	Shelton et al.			
	6.	11/29/2001	2001/0046959	Buchovich et al.			
	7.	03/07/2002	2002/0028779	High et al.			
	8.	11/20/1973	3,773,919	Boswell et al.			
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	23.	01/11/1994	5,278,299	Wong et al.			
	24.	08/30/1994	5,342,942	Jaen et al.			
	25.	04/25/1995	5,409,944	Black et al.			
	26.	06/06/1995	5,422,120	Kim			

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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	29.	03/19/1996	5,500,362	Robinson et al.			
	30.	04/23/1996	5,510,261	Goochee et al.			
	31.	04/23/1996	5,510,368	Lau et al.			
	32.	05/28/1996	5,521,213	Prasit et al.			
	33.	06/25/1996	5,530,101	Queen et al.			
	34.	07/16/1996	5,536,752	Ducharme et al.			
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	36.	08/13/1996	5,545,807	Surani et al.			
	37.	08/27/1996	5,550,142	Ducharme et al.			
	38.	09/03/1996	5,552,422	Gauthier et al.			
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	41.	12/03/1996	5,580,717	Dower et al.			
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	60.	12/01/1998	5,844,092	Presta et al.			
	61.	02/02/1999	5,866,692	Shitara et al.			
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	63.	04/06/1999	5,891,650	Godowski et al.			
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	72.	04/03/2001	6,210,671	Co			
	73.	07/24/2001	6,265,150	Terstappen et al.			
	74.	09/18/2001	6,291,247	Riopelle et al.			
	75.	10/23/2001	6,306,849	Hudkins et al.			
	76.	12/18/2001	6,331,415	Cabilly et al.			
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	78.	03/19/2002	6,359,130	Singh et al.			
	79.	04/23/2002	6,376,471	Lawrence, III et al.			
	80.	07/02/2002	6,413,942	Felgner et al.			
	81.	08/20/2002	6,436,908	Koch et al.			
	82.	01/14/2003	6,506,559	Fire et al.			

FOREIGN PATENT DOCUMENTS

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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES	Translation NO
	83.	12/06/1989	EP 0 345 242	Europe			Abstract	
	84.	12/23/1992	EP 0 519 596	Europe				
	85.	02/03/1993	EP 0 524 968	Europe				
	86.	08/10/1988	GB 2,200,651	Great Britain				
	87.	07/30/1987	WO 87/04462	WIPO				
	88.	10/05/1989	WO 89/09225	WIPO				
	89.	07/26/1990	WO 90/07936	WIPO				
	90.	10/04/1990	WO 90/11092	WIPO				
	91.	01/10/1991	WO 91/00360	WIPO				
	92.	03/07/1991	WO 91/02805	WIPO				
	93.	10/03/1991	WO 91/14445	WIPO				
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	97.	05/27/1993	WO 93/10218	WIPO				
	98.	06/10/1993	WO 93/11230	WIPO				
	99.	09/30/1993	WO 93/19191	WIPO			Abstract	
	100.	12/23/1993	WO 93/25234	WIPO				
	101.	12/23/1993	WO 93/25698	WIPO				
	102.	02/17/1994	WO 94/03622	WIPO				
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	107.	01/05/1995	WO 95/00655	WIPO				
	108.	03/23/1995	WO 95/07994	WIPO				
	109.	05/04/1995	WO 95/11984	WIPO				
	110.	05/26/1995	WO 95/13796	WIPO				

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	111.	11/16/1995	WO 95/30763	WIPO				
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	123.	04/19/2001	WO 01/27160	WIPO				
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Examiner Initials	Ref. No.	Title
	133.	Adey, N.B. et al. (1996). "Preparation of Second-Generation Phage Libraries" Chapter 16 *In* Phage Display of Peptides and Proteins: A Laboratory Manual Kay, B.K. et al. eds. Academic Press, Inc.: San Diego, CA pp.277-291.
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	Applicant David L SHELTON et al.	
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	135.	Aley, K.O. et al. (1996). "Delayed Sympathectomy After a Prolonged Hyperalgesia Results in a Subsequent Enhanced Acute Hyperalgesic Response," *Neuroscience* 71(4):1083-1090.
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	137.	Aloe, L. et al. (1992). "Nerve Growth Factor and Distribution of Mast Cells in the Synovium of Adult Rats," *Clin. Exp. Rheumatol.* 10:203-204.
	138.	Aloe, L. et al. (1992). "Nerve Growth Factor in the Synovial Fluid of Patients with Chronic Arthritis," *Arch. Rheum.* 35(3):351-355.
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	148.	Boerner, P. et al. (1991). "Production of Antigen-Specific Human Monoclonal Antibodies From In Vitro-Primed Human Splenocytes," *J. Immunol.* 147(1):86-95.
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	154.	Braun, A. et al. (1998). "Role of Nerve Growth Factor in a Mouse Model of Allergic Airway Inflammation and Asthma," *Eur. J. Immunol.* 28:3240-3251.
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	163.	Chiou, H.C. et al. (1994). "In Vivo Gene Therapy via Receptor-Mediated DNA Delivery," *In* Gene Therapeutics: Methods and Applications of Direct Gene Transfer J.A. Wolff, ed. Birkhauser, pp. 143-156.
	164.	Chothia, C. et al. (1989). "Conformations of Immunoglobulin Hypervariable Regions," *Nature* 342:877-883.
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	Filing Date October 8, 2003	Group Art Unit 1614
	Mailing Date June 23, 2004	

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